

Serial No. 10/761,076

Docket No. 145523-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Julin Wan et al.

: Group Art Unit: 1755

Serial No.: 10/761,076

: Examiner: K. E. Group

Filed: January 16, 2004

For NANOSCALE ORDERED COMPOSITES OF
COVALENT CERAMICS FOR
HIGH-TEMPERATURE STRUCTURAL
APPLICATIONS VIA BLOCK-COPOLYMER-
ASSISTED ASSEMBLY AND METHOD OF
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SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT

- ☐ 1 Pursuant to 37 CFR 1.97(b)
[within 3 months of national, non-CPA filing or prior to 1st Office Action] no charge
- ☐ 2 Certification Pursuant to 37 CFR 1.97(c)(1)
[before Final Office Action, Allowance, or other action closing prosecution] no charge
- ☒ 3 Fee Payment Pursuant to 37 CFR 1.97(c)(2)
[before Final Office Action, Allowance, or other action closing prosecution] \$180.00
- ☐ 4 Certification & Fee Payment Pursuant to 37 CFR 1.97(d)
[On or before issue fee payment] \$180.00

ATTN: MAILSTOP AMENDMENT
COMMISSIONER FOR PATENTS
PO BOX 1450, ALEXANDRIA, VA 22313-1450

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 37 CFR 1.98:

- ☒ 5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

01/09/2006 EFLORES 00000060 070868 10761076

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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service as first class mail in an envelope addressed to:
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(Date of Transmission)

Signature

(Date of Signature)

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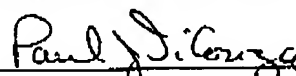
Serial No. 10761.076

Docket No. 145523-1

This paper is submitted in accordance with:

- ☐ 6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office Action]
- ☒ 7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☐ 8 The required Certification made in item 11 below; or
- ☒ 9 The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.
- ☐ 10 37 CFR §1.97(d): [On or before issue fee payment]; and
- a) The required Certification is stated in item 11 below; and
- b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in Item 14 below.
- ☐ 11 Certification
- ☐ 12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☒ 14 Payment of all applicable fees:
- ☒ 15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 07-0868. An original and two (2) copies of this document are enclosed.

Respectfully submitted,



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6 Jan 2006

Date

General Electric Company
GEGR Patent Docket Rm. Bldg. K-1 Rm. 4A59
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Niskayuna, New York 12309
Customer Number: 006147

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FORM PTO-1449 (REV. 7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 145523-1	SERIAL NO. 10/761,076	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <u>LIST OF ITEMS</u>				Applicant Julin Wan et al.		
				Filing Date January 16, 2004	Group 1755	
U.S. PATENT DOCUMENTS & U.S. PATENT APPLICATION PUBLICATIONS						
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1					
	A2					
	A3					
	A4					
	A5					
	A6					
	A7					
FOREIGN PATENT DOCUMENTS						
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS TRANSLATION YES NO
	B1					
	B2					
	B3					
	B4					
OTHER INFORMATION (Including Author, Title, Date, Pertinent Pages, etc.)						
	C1	Monnier et al., "Cooperative Formation of Inorganic - Organic Interfaces in the Synthesis of Silicate Microstructures," <i>Science</i> , Vol. 261, pp. 1299-1303, September 3, 1993				
	C2	Templin et al., "Organically Modified Aluminosilicate Mesosstructures from Block Copolymer Synthesis," <i>Science</i> , Vo. 278, pp. 1795-1798, December 5, 1997				
	C3					
	C4					
	C5					
	C6					
	C7					
EXAMINER				DATE CONSIDERED		
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant						